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PATENT

Paper No.

File: Newmrkt-P1-98

Signed: [Signature]
Peter K. Trzyna (Reg. No. 32, 601)

Date: November 19, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Anthony F. Herbst and Wayne F. Perg
Serial No. : 09/197,908
Filed : November 23, 1998
For : DIGITAL COMPUTER SYSTEM AND METHODS FOR
MANAGING AN AUCTION MARKET FOR PREFERRED-
RETURN SECURITIES
Group Art Unit : 3624
Examiner : Geoffrey R. Akers

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

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NOV 26 2002

GROUP 3600

TRANSMITTAL LETTER

07/30/2004 MJONES1 00000001 500235 09197908
01 FC:2401 S I R 160.00 DA

Please enter the following enclosed documents in the above-identified patent
application.


1. Notice of Appeal; and
2. Petition for Extension of Time.

The Commissioner is hereby authorized to charge any fees associated with the
above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: November 19, 2002


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